

**DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention or design entitled Title of Invention: SYSTEM AND METHOD FOR ROUTING A TRADING ORDER, the specification of which is attached hereto;

That I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and

That I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim U.S. provisional application or foreign priority benefits under 35 U.S.C. § 119 of any U.S. provisional applications or any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<b>Prior U.S. Provisional or Foreign Application(s)</b>				<b>Priority Claimed</b>	
<b>Number</b>	<b>Country</b>	<b>Day/Month/Year Filed</b>	<b>Yes</b>	<b>No</b>	
N/A					

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Serial Number

Date Filed

Status

-----NONE-----

I hereby appoint:

Practitioners at Customer Number **05073**

all of the firm of Baker Botts L.L.P., my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities.

Send Correspondence To:

Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980

Direct Telephone Calls To:

Samir A. Bhavsar  
at 214.953.6581

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Title: **SYSTEM AND METHOD FOR ROUTING A TRADING ORDER**

Full name of first inventor:

Thomas J. Daley

Inventor's signature



Date

11/28/2004

Residence (City, County, State)

McKinney, Collin County, Texas

Citizenship

United States of America

Post Office Address

3011 Chukar Drive  
McKinney, Texas 75070

Title: SYSTEM AND METHOD FOR ROUTING A TRADING ORDER

Full name of second inventor: Pavan K. Garapati  
Pavan K. Garapati

Inventor's signature

Date 1/28/2004

Residence (City, County, State) Plano, Collin County, Texas

Citizenship India

Post Office Address 9603 Custer Road, Apartment 1215  
Plano, Texas 75025

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Thomas J. Daley et al.

Filing Date: January 29, 2004

For: SYSTEM AND METHOD FOR ROUTING A TRADING ORDER

Mail Stop Patent Application  
Commissioner of Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. No representation is made that a search has been made, that the references are material to the patentability of the present application, or that the references qualify as prior art.

Respectfully submitted,

Baker Botts L.L.P.  
Attorneys for Applicants



Samir A. Bhavsar  
Reg. No. 41,617

Date: January 29, 2004

Correspondence Address:  
2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980  
(214) 953-6581

Customer No. 05073

<b>PTO-1449</b> <b>Information Disclosure Citation</b> <b>In an Application</b>	Application No. <b>Unknown</b>		Applicant(s) <b>Thomas J. Daley et al.</b>	
	Docket Number <b>069547.0163</b>		Group Art Unit <b>Unknown</b>	Filing Date <b>January 29, 2004</b>

### U.S. PATENT DOCUMENTS

		<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE</b>
A							
B							
C							
D							
E							
F							
G							
H							
I							

### FOREIGN PATENT DOCUMENTS

		<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>	
							<b>YES</b>	<b>NO</b>
J								
K								
L								
M								

	<b>DOCUMENT (Including Author, Title, Source, and Pertinent Pages)</b>	<b>DATE</b>
N	Patent Pending Application entitled, "System and Method for Routing a Trading Order According to Price," by Thomas J. Daley et al., attorney docket no. 069547.0173, 72 pages including drawings	filng date January 29, 2004
O	Patent Pending Application entitled, "System and Method for Controlling the Disclosure of a Trading Order," by Thomas J. Daley et al., attorney docket no. 069547.0174, 66 pages including drawings	filng date January 29, 2004
P	Patent Pending Application entitled, "System and Method for Avoiding Transaction Costs Associated with Trading Orders," by Thomas J. Daley et al., attorney docket no. 069547.0175, 65 pages including drawings	filng date January 29, 2004
Q	Patent Pending Application entitled, "System and Method for Matching Trading Orders," by Thomas J. Daley et al., attorney docket no. 069547.0176, 74 pages including drawings	filng date January 29, 2004
R		

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE